



## PATENT

Our Docket: P-IM 5158

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Moss and Carlo

Serial No.: 10/056,420

Filed: January 24, 2002

For: METHOD FOR TREATING AN HIV-  
INFECTED INDIVIDUAL BY  
COMBINING IMMUNIZATION WITH  
STRUCTURED INTERRUPTION OF  
ANTI-RETROVIRAL TREATMENT

) Examiner: Unassigned

) Group Art Unit: 1633

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Washington, D.C. 20231, on June 4, 2002.

By

Melanie K. Webster, Reg. No. 45,201

June 4, 2002

Date of Signature

COMMISSIONER FOR PATENTS  
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Attention: BOX MISSING PARTSMISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed  
March 13, 2002, enclosed are:

- ☒ 1. A copy of the Notice to File Missing Parts;
- ☒ 2. An executed Declaration for Patent Application;
- ☒ 3. A Recordation Form Cover Sheet and an executed Assignment;
- ☒ 4. An executed Small Entity Statement;
- ☒ 5. An executed Power of Attorney for Patent Application by Assignee;
- ☒ 6. An executed Statement Under CFR 3.73(b) with copy of executed Assignment.

The filing fee has been calculated as shown below:

|   | NUMBER<br>FILED |   | NUMBER<br>EXTRA |   | RATE            |                 | FEE             |                 |
|---|-----------------|---|-----------------|---|-----------------|-----------------|-----------------|-----------------|
|   |                 |   |                 |   | SMALL<br>ENTITY | OTHER<br>ENTITY | SMALL<br>ENTITY | OTHER<br>ENTITY |
| TOTAL<br>CLAIMS   | 26-20           | = | 6               | x | \$9.00          | \$18            | =               | \$54.00         |
| INDEPEN-<br>DENT<br>CLAIMS  | 1-3             | = | 0               | x | \$42            | \$84            | =               | \$0.00          |
| MULTIPLE DEPENDENT CLAIMS PRESENTED:<br>YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> |                 |   |                 |   | \$140           | \$280           | =               | \$0.00          |
|   |                 |   |                 |   | BASIC FEE       |                 | \$370.00        | \$740           |
|   |                 |   |                 |   | TOTAL FEE       |                 | \$424.00        |                 |

- ☒ 7. A check in the amount of \$584.00 to cover the filing fee of \$424.00, the surcharge fee of \$65.00, the one-month extension of time fee for \$55.00 and \$40.00 for recording an Assignment for the application is enclosed.

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RECORDATION COVER SHEET WITH ONE  
EXECUTED ASSIGNMENT (5 pages)  
Attorney Docket No.: P-IM 5158  
Serial No.: 10/056,420

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/056,420         | 01/24/2002          | Ronald B. Moss        | P-IM 5158              |

CONFIRMATION NO. 8063

23601  
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7TH FLOOR  
SAN DIEGO, CA 92122

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FORMALITIES LETTER



\*OC000000007628274\*

Date Mailed: 03/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/18/2002 MBERHE 00000018 10056420

FILED UNDER 37 CFR 1.53(b)

|           |           |
|-----------|-----------|
| 01 FC:201 | 370.00 OP |
| 02 FC:205 | 65.00 OP  |
| 03 FC:203 | 54.00 OP  |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$108.  
    ■ \$108 for 6 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 978.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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PART 3 - OFFICE COPY



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| 1   | CTMS   | 2               |

Total number of pages: 2

Remarks:

Order of re-scan issued on .....